

5030

JC07 Rec'd PCT/PTO 18 JAN 2002

09/89071

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Pomfrett, Christopher John
Douglas
Serial No.: 09/890,721
Filed: August 1, 2001
Title: REGULATION OF ANAESTHESIA

Examiner: Unassigned

Group Art Unit: Unassigned

Docket: 078986-0205

O I P E

JAN 18 2002

PATENTS & TRADEMARKS
U.S. DEPARTMENT OF COMMERCE

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described herein, are being deposited in the United States Postal Service, as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on November 9, 2001.

By: Juanita Soberanis
Juanita Soberanis

Commissioner for Patents
Washington, D.C. 20231

Sir:

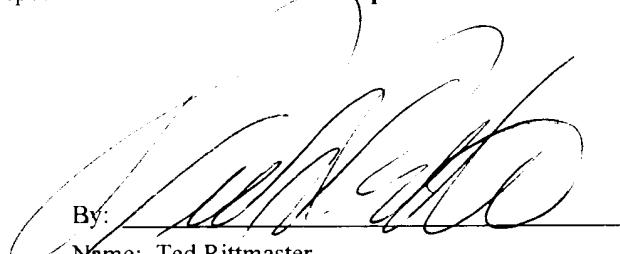
We are transmitting herewith the attached:

- Information Disclosure Statement
- Form PTO-1449
- Copies of Cited References
- Return postcard

Please charge any fees associated with this transmittal to Deposit Account No 50-0872. A duplicate of this sheet is enclosed.

Date: November 9, 2001

FOLEY & LARDNER
2029 Century Park East
35th Floor
Los Angeles, CA 90067-3021
1-310-277-2223 Office
1-310-557-8475 Fax

By: 

Name: Ted Rittmaster
Reg. No.: 32,933
TRR/js



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Pomfrett, Christopher John
Douglas
Serial No.: 09/890,721
Filed: August 1, 2001
Title: REGULATION OF ANAESTHESIA

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described herein, are being deposited in the United States Postal Service, as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on November 9, 2001.

By: *Juanita Soberanis*
Juanita Soberanis

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted before the issuance of a first Office Action in the above-identified application. Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

In accordance with 37 C.F.R. §1.98(a)(2), a copy of each document or other information listed on the enclosed Form 1449 is provided.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or

otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please charge fees, if any, to Deposit Account No. 50-0872.

Dated: November 9, 2001

Respectfully submitted,

By

Ted R. Rittmaster

Reg. No.: 32,933

Initials: TRR/js

FOLEY & LARDNER
2029 Century Park East
Suite 3500
Los Angeles, CA 90067-3021
Tel.: (310) 277-2223
Fax: (310) 557-8475

Form PTO-1449 (MODIFIED)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. 078986-0205	SERIAL NO. 09/890,721
SUPPLEMENTAL INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i>		APPLICANT Pomfrett, Christopher John Douglas	
		FILING DATE August 1, 2001	GROUP ART UNIT Unassigned

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE IF APPROPRIATE
	A1	4,165,312	08-21-1979	Schoenenberger	260	112.5 R	06-26-1978
	A2	4,444,758	04-24-1984	Scherschlicht et al.	424	177	05-17-1982

FOREIGN PATENT DOCUMENTS

REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION	
						YES	NO
A3	2 000 511 A	01-10-1979	Great Britain	7	02	x	
A4	0 513 702 A2	11-19-92	Europe			x	
A5	0 513 702 A3	11-19-92	Europe			x	

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

A6	Article titled, <u>The Phosphorylated Analogue of DSIP Enhances Slow Wave Sleep And Paradoxical Sleep In Unrestrained Rats</u> ; Mayumi Kimura, et al.; Psychopharmacology (1989) Vol. 97 pp. 35-39
A7	Article titled, <u>DSIP Occurs In Free Form In Mammalian Plasma, Human CSF and Urine</u> ; Markus V. Graf, et al.; Pharmacology Biochemistry & Behavior, 1984 Vol. 21 pp. 761-766
A8	Article titled, <u>Delta Sleep-Inducing Peptide-Like Material In Rat Brain As Determined By Enzyme Immunoassay: Effect Of Sleep Deprivation</u> ; Shigeru Nagaki, et al.; Neuroscience Letters, (1984) Vol. 51 pp. 253-257
A9	Abstract No. 199699009270; Eksperimental 'naya Klin Farmakologiya, 1996, 59 (1) pp. 8-10
A10	Abstract No. 199698652104; Fiziologicheskii Zhurnal Imeni I M Sechenova, 1995, 81 (2) pp. 1-6
A11	Abstract No. 199396115975; Fiziologicheskii Zhurnal (Kiev), 1992, 38 (4) pp. 27-32
A12	Abstract No. 000088122948; Patologicheskaya Fiziologiya Eksperimental 'naya Terapiya, 1989, 0 (2) pp. 45-49
A13	Abstract No. 000087001742; Kardiologiya, 1988, 28 (3) pp. 89-81
A14	Abstract No. 000081036248; Kardiologiya, 1985, 25 (5) pp. 84-88
A15	Abstract No. 199294101504; Fiziologicheskii Zhurnal Imeni I M Sechenova, 1991, 77 pp. 1-13
A16	Abstract No. 1998-465470

EXAMINER	DATE CONSIDERED
*	EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include any copy of this form with next communication to applicant.